# Nutrition Expertise and Policy

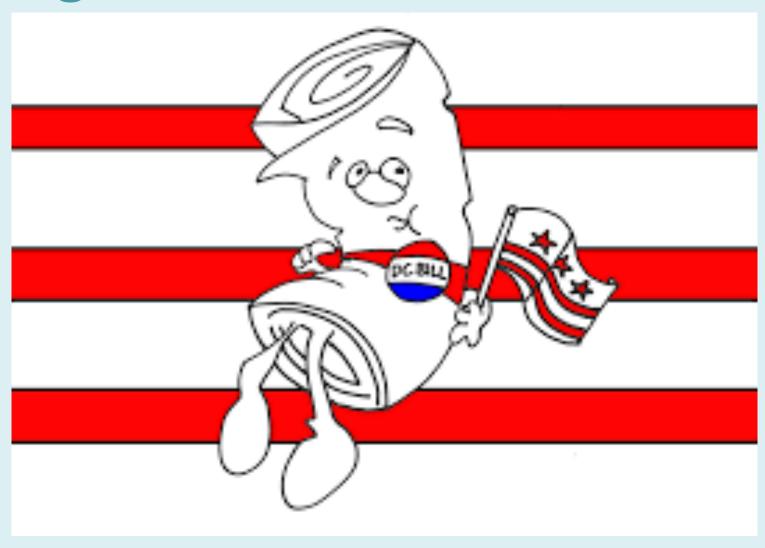
Jaime Foster, PhD, RD

**Research Scientist** 

**Connecticut State Representative** 



# How often do experts think or say... "there ought to be a law"?



# How a bill becomes a law: State and federal process

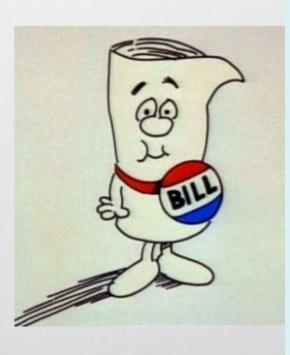
A bill is a proposed law.

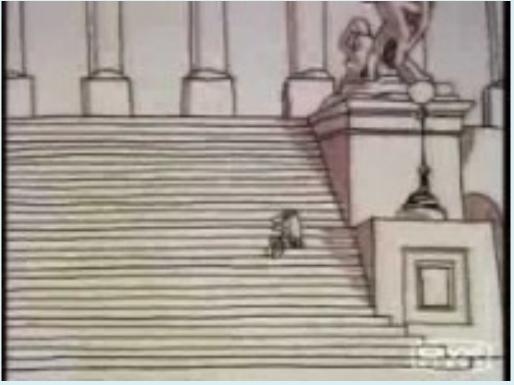
Bills can be introduced in either house unless..

Appropriation Bill- bill approving raising of money (must begin in the House!)

Each bill must pass in the House and the Senate then be approved by the President

A law is also known as an act.





#### Informing Nutrition Policy

3. Have mobilized interests groups reach out to committee of cognizenze

> •Need to get it raised in public hearing & testify in public hearing

3. Constituent campaigns on HR 6971/SB 4504relevant to CT HB

6238 Constituent action center: advocate/petition/testify in support of legislation\*

testify, writtan and •make sure they are aware oral (varied stakeholders)

1. Have mobilized interest

groups reach out to their

representatives and

of the key issues

4. Provide

bulleted talking

points or sample

"insert your story

language with

here" and copy

and paste email

addresses or link

to look up their

legislator

· Public hearings can be raise on concept or written bill. Bills can be revised after public hearings.

5. Organize for amd

testify at public

Have multiple

representatives

hearing

5. The most effective testimony are those that contextualize compelling data with real person lived experiences and stories. Oral is always the most compelling.

Data Required:

multiple stakeholder perspectives representing stories, cost, impact, efficacy. For fruit and veg Rx programs you might include the beneficial perspective of families, children and families, children and elderly, grocery stores, local agriculture/farmers markets, healthcare providers and researchers \*\*at this phase need to bring "both sides," to the table to find shared interest-

8. Bills passed in committee need to be passed in both house and senate in same format

> organize to reach out to representatives to make sure they are aware of bill and understand perspective

> > Governor should

be reached out to

8. Prior to this point, hopefully everyone in the been contacted by committee has been contacted by your group.

Make sure that people at this point have contacted "their" representatives.

7. Vote in

committee

the floor

•if passes moves to

7. Prior to this

point, hopefully

everyone in the

committee has

your group.

9. If passes both houses it goes to the governor to

sign into law

9. Advocacy to the office of the governor

10. Celebrate or reorganize to try again next session.

1. Identify policies you'd like to enact, influence or alter. "There ought to be a law!" OR identify related bill to amend for your policy goals Legislator makes introduction 1. HB 5184 AA imposing a tax on Sugarsweetened beverages SB 0098 AA Requiring food retailers and convenience stores located in urban areas to offer health food options AAC Healthy Homesraised

- currently could use peer reviewed publications- Engel et

2. A good example of materials tailored are these 2 documents on HR 6971/SB 4504relevant to CT HB

Issue brief:

6238

2. Create tailored policy

Technical support for

department (LCO in CT)

•Information to pursuade

policy-makers and guide

•For citizens/residents to

know why they should

legislative legal

their drafting

(see example 3)

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Legislative brief:

https://www.eatrightpro.org/-/media/eatrightpro-

this way, will likely have this

involve in collaboration.

6. Bill Drafted

Conent area

experts should

be accessble to

LCO or relevant

6. Bills can also be

drafted before

public hearings

public hearing is

specific to the bill

and then the

text and

should be

conversation

germane to the

language of the

bill. Hopefully at

established as a

this point, you are

credible expert to

equivalent

-To draft effective policy, you need information on who should be targeted,



### **Government Structure**

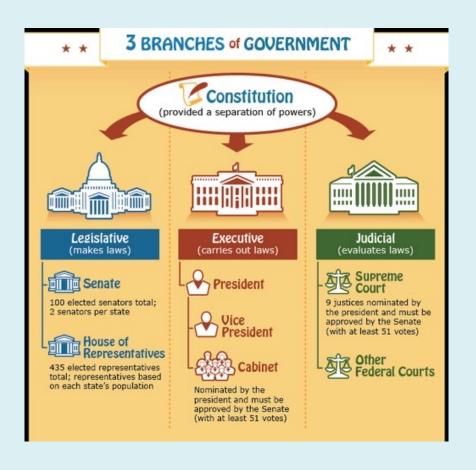
#### **LOCAL**

**Legislative Body** 

Mayor and Town/City Council or First Selectman/ Board of Selectmen with a town meeting

Local boards and commissions with cognizance over issues

#### **STATE AND FEDERAL**



## **Local Politics and Health Issues**

Local Entity	Types of health-related projects they have cognizance over			
Board of Selectman /Town Council /Board of Finance	<ul> <li>Funding and appropriating money for projects, working with delegation to secure bonding</li> <li>Trails</li> <li>Parks</li> <li>Rec centers</li> <li>Other built environment changes</li> <li>Ordinance changes - <a href="https://patch.com/connecticut/southwindsor/south-windsor-town-council-against-banning-tobacco-sa963cffb1ec">https://patch.com/connecticut/southwindsor/south-windsor-town-council-against-banning-tobacco-sa963cffb1ec</a></li> <li>SSB tax</li> <li>Flavored tobacco ban</li> </ul>			
Board of Education	<ul> <li>School food policy</li> <li>Hiring of food service department</li> <li>Oversight/recruit school-based health center</li> <li>Prevention programming</li> <li>Curricula oversight- health ed</li> </ul>			
Planning and Zoning	<ul> <li>Preserve open space, parks, walkability</li> <li>Neighborhood safety</li> </ul>			
Boards and Commissions	<ul> <li>Youth Services</li> <li>Human Services</li> <li>Social Services</li> <li>Health Department</li> <li>Elderly Services</li> </ul>			

# 1. "There ought to be a law."

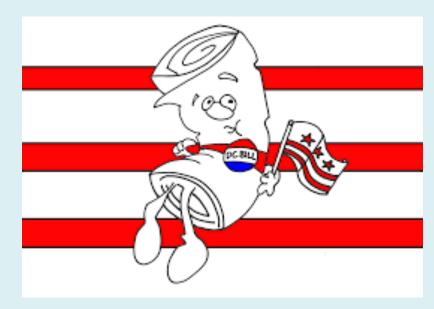
Identify policies you'd like to enact, influence or alter.

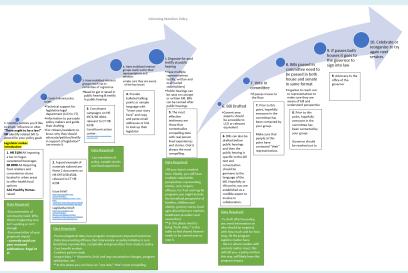
**Legislator makes the introduction** 

Make yourself the champion: collaborate with them!

#### Data you can share:

- Documentation of community need / why what's happening now isn't working or isn't enough
- Documentation of your proposal's impact





# 2. Influence the drafting of the proposed bill

#### You might... create tailored policy briefs

- Technical support for legislative legal department Information to persuade policy-makers and guide their drafting
- For citizens/residents to know why they should advocate/petition/testify in support of legislation

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**Issue brief:** <a href="https://www.eatrightpro.org/-/media/eatrightpro-files/advocacy/legislation/mntact--issue-brief---october2020">https://www.eatrightpro.org/-/media/eatrightpro-files/advocacy/legislation/mntact--issue-brief---october2020</a> final.pdf?la=en&hash=6FB3DB1D2356D32E0679E2079850891700FB060A

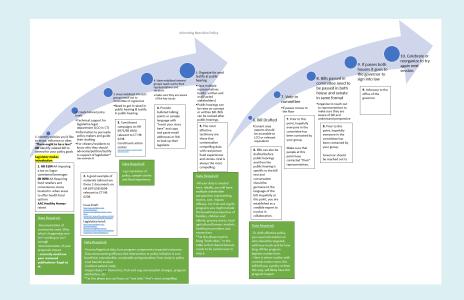
**Legislative brief:** https://www.eatrightpro.org/-/media/eatrightpro-files/advocacy/legislation/mntact-leavebehind.pdf?la=en&hash=535F094A066E52837C9A21AAE87C690760EBFE1E

# 3. Encourage the bill to be raised and given a public hearing

 Here you might have mobilized interest groups reach out to committee of cognizance.

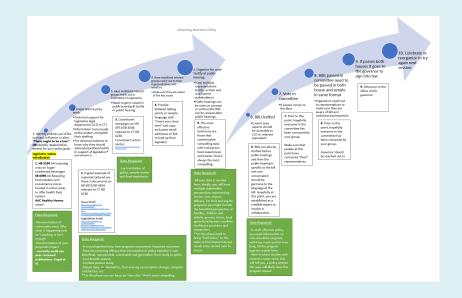
#### Examples include:

- Constituent campaigns on HR 6971/SB 4504 relevant to CT HB 6238
- Constituent action center:
   <a href="https://www.eatrightpro.org/advocacy/take-action/action-center">https://www.eatrightpro.org/advocacy/take-action/action-center</a>
- Consider lay translation of policy, sample stories and lived experience.



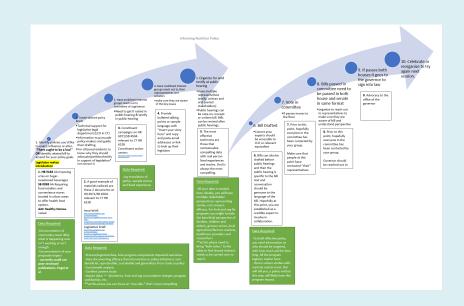
# 4. Have mobilized interest groups reach out to their representatives and senators

- Make sure supporters are galvanizing their own legislators on an issue.
- Provide bulleted talking points or sample language with "insert your story here" and copy and paste email addresses or link to look up their legislators.



# 5. Organize for and testify at the public hearing

- Have multiple representatives provide written and oral testimony (varied stakeholders).
- The most effective testimonies contextualize compelling data with "real person" lived experiences and stories. Oral storytelling is always the most compelling.
- Public hearings can be raised on concept or written bill. Bills can be revised after public hearings.



# Format for a public hearing

Speakers must sign up ahead

Be called on by chair

Have 3 minutes to testify, testimony must be germane to agenda

Elected are not supposed to pontificate/debate but only ask questions on public

On HB 6229



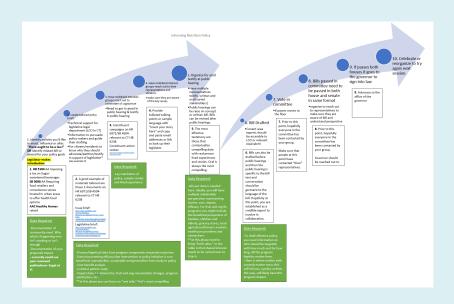
# Why we need scientists and HCPs in politics



Dr. Shaw line of questioning by Senator Dr. Anwar and myself

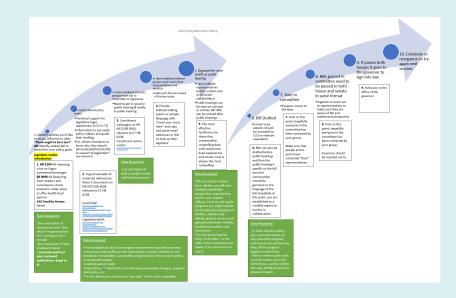
# 6. Drafting or revision of a bill

Content area experts should be accessible to drafting attorneys.



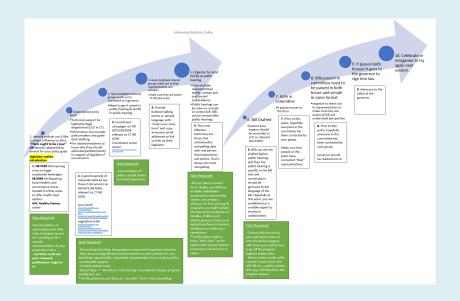
# 7. Voting in committee

- If it passes, it moves to the floor.
- Prior to this point, hopefully everyone in the committee has been contacted by your group.
- Make sure that people at this point have contacted their Representatives.



# 8. Bills passed in a committee need to be passed in both House and Senate in the same format

- Organize to reach out to Representatives to make sure they are aware of the bill and understand your perspective.
- Prior to this point, hopefully everyone in the committee has been contacted by your group.
- Reach out to the Governor and President as well.



# Floor debates - in defense of dairy

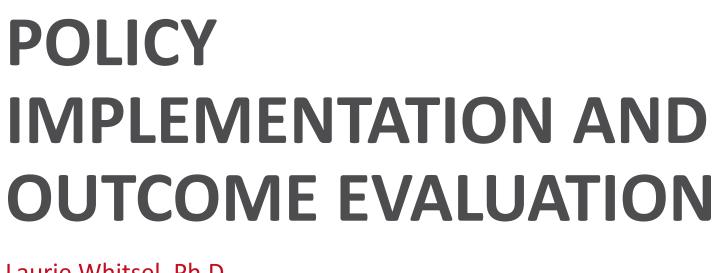


# Midnight discussions on vaccines



# We need scientists, experts, and advocates!

- Misinformation and assumptions are loud and spread widely.
- Our findings and understandings are nuanced and can inform better policy.
  - (Flavored tobacco example)
- When compromises are sought, our expertise can inform better final agreements and help policymakers determine worthwhile vs. risky concessions.
- Connect with your legislator. Establish yourself as the expert on the issue and make it clear that you want to help.



Laurie Whitsel, Ph.D.

National Vice President – Policy Research and Translation

**American Heart Association** 



#### **ASSESSING FOR IMPACT**

To optimize our work in advocacy, we need to understand whether the policies we work so hard to get into place are implemented as intended

- Population Impact
- Improved Equity
- Impact on Disparities
- Cost to Implementers and Priority Populations
- Any Unintended Consequences
- Penetration and Uptake
- Contribution to Creating Longer Healthier Lives

Advocacy organizations have an obligation to assess the impact of the issues/campaigns they work on

Need for a policy implementation and outcome evaluation framework that can be used by organizations in partnership with the research community, funders, and government



#### **EVIDENCE FOR IMPACT – WHAT ARE WE MEASURING?**

#### **Qualitative Assessment:**

- Adoption
- Acceptability
- Penetration
- Feasibility
- Fidelity
- Implementation Cost
- Cost-effectiveness
- Unintended Consequences

**Quantitative assessment** against relevant surveillance systems, consumer data, and other monitoring

Longitudinal tracking

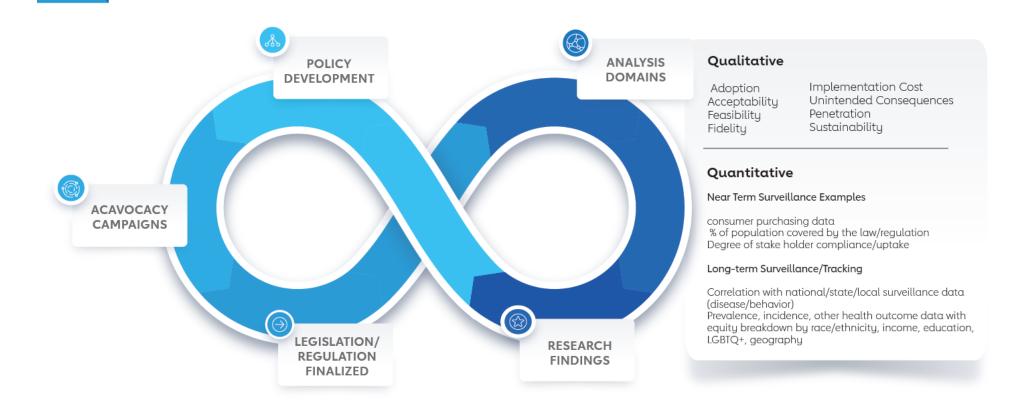
Examples of equity measures:

- Race/Ethnicity
- Geography
- Rurality
- Income
- Educational Attainment

While these metrics with each policy issue will primarily be showing association, not causality, the analysis will be important for some assessment of efficacy.

American

# Research Framework for Robust Policy Implementation and Outcome Evaluation





Policy implementation and outcome evaluation is an integral component of the policy process that provides an overall performance management framework to guide responsible decision-making. Fundamentally, to optimize our work in advocacy, we need to understand whether the policies we work so hard to get into place are implemented as intended, whether there is specific population impact, whether they improve equity or create disparities, the cost to implementers and target populations, their penetration and uptake, any unintended consequences, and their contribution to creating longer, healthier lives. The framework requires longitudinal tracking with a blend of qualitative and quantitative analysis. Optimizing evidence-informed policy making will require significant engagement from advocacy organizations and the research community with profound investment from private and public funders.

#### **ADOPTION**

What is the policy mechanism (e.G. Executive order, legislation, regulation, contracts)?

At what level of government was the policy addressed?

Is the policy based on evidence-based scientific or clinical recommendations?

Was the policy formally approved/passed?

Was the policy adopted? If so, what was the adoption date?

What is the scope or elements of the policy and at what level (i.E., Federal, state, local) is it being adopted/implemented.

Were implementation process steps outlined in statute and regulation?

Was implementation evaluation written into the law?

Is there a role for a federal, state and or local health agency) and any dedicated appropriations/funding for evaluation.

Was the policy implemented? If so, was there an implementation date?

What resources and funding were available to ensure implementation of the policy?

Are resources/training available to support lower capacity implementors to ensure equity?

What existing social, political, or economic realities were occurring at the time of implementation of this policy?

Is there capacity for monitoring/enforcement?



#### **ACCEPTABILITY**

Who were the stakeholders involved in Adoption and Implementation? Were stakeholders who would be most impacted by the policy involved in implementing?

What supporting policies, resources (e.g. technical assistance, training) and/or processes were put in place to enforce the implementation of the policy?

Was the policy well received across all priority populations? If so, by whom? If not, by whom?

Was the policy change adequately communicated? If so, by whom/by what agency or stakeholder? How did the communication happen?

What was the level of engagement with enactment?

- a. Amongst implementers
- b. How was the policy received across impacted populations?





#### **FEASIBILITY**

Were implementers adequately trained to implement all aspects of the policy?

Were there barriers to implementation? If so, what were they? Were they overcome? If so, how?

What/who were the facilitators for implementation?





#### **FIDELITY**

Was the policy implemented as intended?

Did it have its expected outcomes??

Summarize the equity impact

- a. Racial/ethnic reach
- b. Income
- c. Education levels
- d. Geographic area
- e. Sexual orientation
- f. Other characteristics specific to the policy





### **IMPLEMENTATION COST**

What was the cost of implementation?

Are there any annual appropriations in place associated with implementation?





### **UNINTENDED CONSEQUENCES**

Were there any unintended consequences experienced

- By the priority population or other population groups?
- At the systems level?

Were disparities increased or decreased with implementation?





#### **PENETRATION**

Scope/reach of the policy (actual population reach, systems change, process improvement)

Does the policy address any social determinants of health?

Was there a system change with this policy?

Did this policy improve or worsen any existing processes?





### SUSTAINABILITY AND MONITORING

Was the policy change sustained over time? How long?

Did outcomes vary over time? If so, how?

Was the implementation process sustainable over time?

For monitoring/ enforcement, which agencies are involved; is it happening?





#### **QUANTITATIVE ANALYSIS – WATERFALL APPROACH**

Priority Issue	1 <sup>st</sup> Outcome Measure (Monitoring)	2 <sup>nd</sup> Outcome Measure (Monitoring)	3 <sup>rd</sup> Outcome Measure (Monitoring)	4 <sup>th</sup> Outcome Measure (Monitoring)	
Healthy Diet					
Sugary beverage taxes	Increase in cost of beverages (likely will have to purchase these data)	Beverage sales and changes across the beverage portfolio	Reported beverage consumption in youth and adults (YRBSS, BRFSS)	CVD outcomes, obesity, and diabetes rates (Vital Statistics, LHANES/NHANES, BRFSS, YRBSS)	
SNAP	Level of Appropriations	Fruit and vegetable purchases	Self-reported Fruit and vegetable intake (YRBSS, BRFSS, LHANES/NHANES)		
Early Care and Education Licensing Regulation	# of facilities (center and home-based) that met the standard (CDC- NRC)	# <u>of</u> children covered (center and home-based care)	Licensing official trainings that occurred; embedded in compliance visits	Children's diet quality from menu analysis or data from CDC surveillance (CDC's C-SAW)	



#### **AHA'S AREAS OF FOCUS ON NUTRITION POLICY**

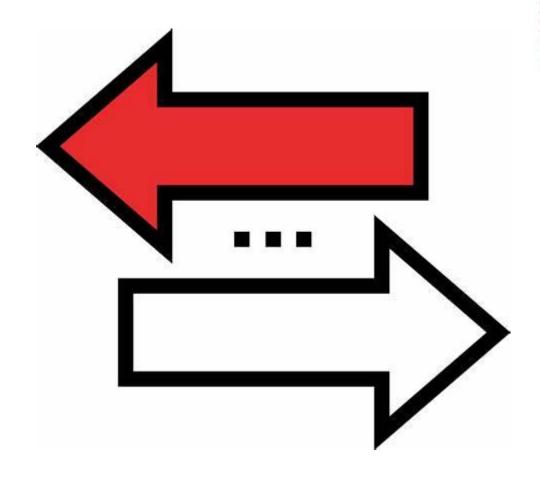
- SUGARY BEVERAGE TAXES
- SCHOOL NUTRITION STANDARDS
- GOVERNMENT NUTRITION ASSISTANCE PROGRAMS (SNAP, WIC, CACFP, SUMMER FEEDING PROGRAM)
- FOOD IS MEDICINE
- RESTAURANT MEALS
- NUTRITION AND PA STANDARDS IN EARLY CARE AND EDUCATION
- SODIUM REDUCTION ACROSS THE FOOD SUPPLY
- FOOD LABELING
- TARGETED FOOD AND BEVERAGE MARKETING/ADVERTISING





#### **NEXT STEPS**

- Co-create an evergreen research agenda for policy implementation and outcome evaluation research across our strategic policy agenda
- Work with government agencies, research community, policy makers, funders to bring capacity and momentum to this work
- Continue to share what we learn







# Questions?



# Thank You.

Laurie Whitsel, Ph.D.

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